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PATENT APPLICATION

Docket No.: 14917.1.4

PATENTS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

James E. Moon, et al.

Serial No.: 09/702,955

Filed: October 31, 2000

For: INTEGRATED MONOLITHIC
MICROFABRICATED ELECTROSPRAY AND
LIQUID CHROMATOGRAPHY SYSTEM
AND METHOD

TRANSMITTAL FOR AMENDMENT AND RESPONSE AND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Box: No Fee
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- ☒ Form PTO-1449 list of thirty nine (39) references submitted for consideration.
- ☐ Legible copy of the listed references or its relevant portions.
- ☐ All English translations of each nonenglish reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

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The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

___ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.

___ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

___ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

___ Promptness Certification.

___ Petition for Consideration and Check No. _____ in the amount of \$ _____.

___ In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e) or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 13^d day of April, 2001.

Respectfully submitted,



David O. Seeley
Attorney for Applicants
Registration No. 30,148



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CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 13, 2001.

A handwritten signature in cursive script, appearing to read "David O. Seeley".

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Transmitted: Transmittal for Supplemental Information Disclosure Statement
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